

Hybrid Seminar

AML/Fraud RegTech Implementation: Challenges and Case Studies

Date and Time:

Tuesday, 14th May 2024

Registration time (HKT):
4:00 p.m. – 4:30 p.m.

Seminar time (HKT):
4:30 p.m. – 6:30 p.m.

Networking
6:30 p.m. – 7:30 p.m.

***Light refreshment will be served**

Live and by Webinar:

Grant Thornton,
8/F, Lee Garden Two, 28 Yun Ping
Road, Causeway Bay, Hong Kong
(MTR Exit F1)

-OR-

Online (Webex)
(details to be announced)

Language: English

Award: 2 CPE points

Outline:

The implementation of regulatory technology (RegTech) has been an increasingly important topic in the financial industry. One of the biggest challenges that financial services firms face is navigating the complex regulatory landscape and whether the deployed RegTech solutions can meet regulatory requirements.

This seminar will discuss the challenges on RegTech adoption and how to leverage RegTech solutions help resolve common risk management and regulatory compliance pain points.

Speaker:

Francis Choi
Head of Financial Crime Compliance
BOC Group Life Assurance
Co-Secretary, ACAMS Hong Kong Chapter Board

Francis currently serves as the Head of Financial Crime Compliance at BOC Group Life Assurance Company Limited. She has over 25 years of experiences in banking (Retail, commercial, investment and virtual), insurance (Life and GI), law enforcement, and Regtech development managing AML/CFT, sanctions, fraud, and anti-bribery and corruption programs.

Francis holds various compliance and investigations certifications, including Certified Anti-Money Laundering Specialist (CAMS), Certified Global Sanctions Specialist (CGSS), Certified Fraud Examiner, and Certified Cryptocurrency Auditor.

Henry Yu
Chief Compliance Officer
Airstar Bank

Henry is a Risk and Compliance Executive with over 20 years' of experience with a focus on non-financial risk in both private and public sectors, including retail, investment, corporate and private banking, together with Regtech and digital finance sector. He has been working on various regional and local senior management roles including MLRO, MIC of SFC and S72b Manager of HKMA for different financial institutions. Henry is also lecturing in the Department of Laws of The University of HK for its LLM classes.

Registration Slip

I would like to reserve _____ seat(s) for the Hybrid Seminar on **14th May 2024**.

Full Name: (Mr./Ms./Mrs.) _____

*ACFE/ASIS/ACCA/CIMA/HKICPA/ISACA/IIA/HKSI/HKAFA/GCFPA/CA ANZ (Circle as appropriate)

Membership Number _____

Company: _____ Title: _____

Mailing address: _____

Tel No: _____ Fax No: _____ Email: _____

Mode of attendance: *Face to face / Online (Circle as appropriate)



Online
registration

Remarks:

1. Prior registration is required. Registration will be accepted on a first-come-first-served basis. Confirmation of the registration will be sent closer to the date.
2. Registration can be done online at <https://www.hkacfe.com/event-5712829> (see QR code above) -OR- by completing and returning this Registration Form to ACFE HK:
By fax: 3019 2251 / By email: events@hkacfe.com / By post: GPO Box 8412, Central, Hong Kong
3. Payment should be made by one of the following methods:
 - Online payment by Visa or Master via Paypal (available for online registration only; not applicable for group discount)
 - By Direct Deposit, at Bank of East Asia Account No. 015-514-40-67876-7, "Association of Certified Fraud Examiners Hong Kong Chapter Limited";
 - By Cheque, payable to: "Association of Certified Fraud Examiners Hong Kong Chapter Limited".
4. Payment is not refundable, but transfer to another person of the same category will be accepted.
5. Receipt for payment, together with the certificate of attendance (if applicable), will be sent upon completion of the seminar / event.
6. We reserve the right to substitute speakers and alter the programme and venue for reasons beyond our control.
7. Members wishing to comment about the presentation or administrative arrangements should contact us at president@hkacfe.com and training@hkacfe.com

Fees:

	ACFE HK Members, Students/Members of ACFE, ASIS, ACCA, CIMA, HKICPA, ISACA, IIA, HKSI, HKAFA, GCFPA and CA ANZ	Non- Members
Fees	HKD250	HKD350

Discounts: A 10% discount will be given to groups of 5 or more registering together. In order to enjoy the group discount, all group members MUST register and submit payment at the same time.